roll was called and the following members voted “aye”: Mr. Armbrust, Dr. Frisbie, Mr. Fulkerson, Mr. House, Mr. Sloan, Ms. Johnson, Mr. Turner, and Mr. Abney. The motion passed. A copy of approved RTP personnel is included with the Official Minutes of the Board of Regents.

Report from the Council on Academic Affairs

Dr. Vice presented to the Board the following agenda items which have appropriate department. Faculty Senate and Council on Academic Affairs endorsement for the Board approval. Agenda items 3a-qq, program revisions, was recommended to the Board for approval. Mr. Sloan made a motion to approve; Ms. Johnson seconded. The motion was approved by voice vote.

Dr. Vice presented to the Board agenda items 3rr, 3ss and 3tt, programs lowering hours. Mr. Sloan made a motion to approve; Mr. Abney seconded. The motion was approved by voice vote.

Dr. Vice presented items 3uu, 3vv and 3ww for Board approval. Mr. House made a motion to approve; Dr. Frisbie seconded. The motion carried.

Dr. Vice presented item 3xx, program suspension. Mr. Abney made a motion to approve; Mr. House seconded. The motion was approved by voice vote.

Regulation 4.1.12R, Course Registration, Revision (Information Item)

For informational purposes, Dr. Janna Vice presented Regulation 4.1.12R, Course Registration to the Board. Regulation 4.1.12R addresses facilitation of timely progress toward degree completions for students. The revision to 4.1.12R adds Veterans to the list of student populations eligible for early class registration. No Board action was required for this item. A copy of the 4.1.12R is included with the Official Minutes of the Board of Regents.

Proposed Revision, Policy 4.4.12P, Protecting Human Subjects in Research & Proposed Revision, Policy 4.4.13P, Humane Care and Use of Animals in Research and Teaching Activities

Dr. Vice presented revisions to Policy 4.4.12P, Protecting Human Subjects in Researched and Policy 4.4.13P, Humane Care and Use of Animals in research and Teaching Activities to the Board for approval. Dr. Frisbie made a motion to approve, Mr. House seconded. The motion was approved by voice vote. Copies of the approved policies are included with the Official Minutes of the Board of Regents.

Protocol for Approval of Certificate Programs (Information Item)

Dr. Janna Vice presented an information item pertaining to Protocol for Approval of Certificate Programs for the Board’s consideration. The revisions address definitions and clarifications regarding Certificates and the approval process for Certification Programs. A copy of this item is included with the Official Minutes of the Board of Regents.
TO: Dr. Doug Whitlock  
    President

FROM: Sherry Robinson, Executive Assistant to the Provost for Policy and Process

DATE: December 13, 2010

RE: Humane Care and Use of Animals in Research and Teaching Activities

Executive Summary

Policy 4.4.13P (Humane Care and Use of Animals in Research and Teaching Activities) is submitted for your consideration. The policy has been in effect as an interim policy since June 2009. The policy has now been vetted by the Faculty Senate and the Provost Council, both of whom have recommended support. Some recommendations for minor changes were made by University Council and during the 30-day comment period; those recommended changes are reflected in the attached draft policy.

Please let me know if you have any questions or need any additional information.

Presidential Action:
☑ Recommend approval and submission to the Board of Regents for adoption
☐ Approve (no Board of Regents approval is required)
☐ Submit to President’s Cabinet for advisement
☐ Submit to __________________________ for further review, drafting, or stakeholder feedback
☐ Not approved/not recommended for submission to the Board of Regents
☐ Other action recommended __________________________

[Signature] 12/13/10

Eastern Kentucky University is an Equal Opportunity/Affirmative Action Employer and Educational Institution.
Bill 1 legislation. The partnership would represent the first collaboration of this kind in Kentucky between a local school district and a 4-year university.

Implementing a Middle College on the EKU campus would create a new Madison County high school and be the district’s first pilot program. EKU would provide classrooms, office space, service, and support while the Madison County Schools would fund the necessary costs for staffing and equipping the high school. The proposed collaboration would be detailed in a memorandum of agreement for 60 juniors participating the first year and thereafter, 60 juniors and 60 seniors per year with students completing a minimum of 18 college credit hours.

Feedback and questions can be sent to Dr. Gabbard. The proposal will be returned to the Provost Council for support at a later meeting.

3. **Alcohol Education Initiatives** – New initiatives are being considered by Student Affairs for alcohol education and prevention to address alcohol related risks and impact on education. Currently, alcohol abuse education is presented in some first-year Academic Orientation Courses by guest speakers upon request. The AlcoholEdu for College prevention program is being proposed for implementation at EKU. In this program, students respond to an initial survey to create a personalized user experience that encourages them to consider alcohol behaviors and set personal goals. Input and questions can be sent to Dr. Jim Conneely and Ms. Adrienne Bauer.

IV. **Action Items**

1. **Council on Academic Affairs Report:**
   
   a. **Regulation 4.1.12R, Course Registration, Revision** – A motion was proposed to approve the Regulation 4.1.12R, Course Registration, Revision.

   The motion carried by unanimous vote.

2. **Policy 4.4.12P, Protecting Human Subjects in Research** - A motion was proposed to approve Policy 4.4.12P, Protecting Human Subjects in Research.

   The motion carried by unanimous vote.

3. **Policy 4.4.13P, Humane Care and Use of Animals in Research and Teaching Activities** - A motion was proposed to approve Policy 4.4.13P, Humane Care and Use of Animals in Research and Teaching Activities.

   The motion carried by unanimous vote.

V. **Good of the Order/Announcements**

1. EKU will host the Council on Postsecondary Education (CPE) meeting, Friday, November 5, at 10:00 a.m. in the Library, Grand Reading Room.

2. The Financial Planning Committee meets this afternoon. Meeting materials will be forwarded to the Provost’s Council.
A renovation project will begin soon to update the landscaping in front of the Keen Johnson building. The project is being financed by the Donovan Trust Fund, which is a fund specifically established for campus beautification.

NEW BUSINESS:

Report on Encompass. Deans Carrie Cooper and Jerry Pogatshnik reported on Encompass, a new digital storage system that will house electronic submissions of theses, dissertations, and other research. For fall 2010, theses and dissertations may be submitted electronically and in hard copy format. However by Spring 2011, all submissions will be handled electronically.

Posthumous Degree for Robert Hundley-Doria. Senator Poffenberger moved approval, seconded by Senator Wade. Motion carried.

Protecting Human Subjects in Research Policy. Senator Vice moved approval, seconded by Senator Matthews. Motion carried.

Animal Care and Use Policy. Senator Vice moved approval, seconded by Senator Schmelzer. Motion carried.

Report from Council on Academic Affairs. - Senator Vice

New Option
1. Safety, Security and Emergency Management Ergonomics Option

New Certificate

New Concentrations
3. Anthropology Concentration within the Associate of General Studies program (A.G.S.)
4. Sociology Concentration within the Associate of General Studies program (A.G.S.)
5. Political Science Concentration within the Associate of General Studies Program (A.G.S.)
6. History Concentration within the Associate of General Studies Program (A.G.S.)
7. Mathematical Sciences Concentration with the Associate of General Studies Program (A.G.S.)

Program Suspension
8. Correctional and Juvenile Justice Studies Minor

Program Revisions
9. Journalism B.A. - add JOU 305W as an option for JOU 305
10. Public Relations B.A. - Add JOU 305W as an option for JOU 305
11. Journalism Minor - add JOU 305W as an option for JOU 305
12. Sociology Minor in Deviance/Criminology – revise program requirements
13. Biology M.S. – replace BIO 710 (dropped course) with BIO 810 from each program 26 and option
14. Master of Fine Arts in Creative Writing – To alter the existing catalog description of the MFA 28 program’s curriculum and exit requirements to reflect significant changes agreed upon by the current faculty members teaching in the program. These include: 1) Revised dates for the Summer and Winter Residencies; 2) A formal creative thesis (previously not required); 3) A written exit examination (previously not required).
15. History Teaching B.A. – reflect the dropped courses (HIS 415 and 450) and the course changes (HIS 290 and 450W)
16. Master of Music in Theory/Composition – revise required courses
TO: Dr. Doug Whitlock  
President

FROM: Sherry Robinson, Special Assistant to the Provost

DATE: June 4, 2009

RE: Humane Care and Use of Animals in Research and Teaching Activities

Executive Summary

Despite a reference to a policy on animal welfare in the Faculty Handbook, we have been unable to locate such a policy. Therefore, in order to be in federal compliance, it will be necessary to adopt the attached policy on an interim basis. In the meantime, the policy will be vetted through the appropriate channels and be presented to the Board of Regents as soon as it is feasible.

Policy 4.4.11 (Humane Care and Use of Animals in Research and Teaching Activities) is now submitted for your consideration and approval as an interim policy with an expiration date of one year from the time of adoption. Please let me know if you have any questions or need any additional information.

Presidential Action:
☐ Recommend approval and submission to the Board of Regents for adoption
☐ Approve (no Board of Regents approval is required)
☐ Submit to President’s Cabinet for advisement
☐ Submit to ______________________ for further review, drafting, or stakeholder feedback
☐ Not approved/not recommended for submission to the Board of Regents
☐ Other action recommended ______________________

[Signature]  
[Date]

Eastern Kentucky University is an Equal Opportunity/Affirmative Action Employer and Educational Institution.
Policy Comments

Each policy gets 30 days for comments.

About EKU Blogs-List of Blogs

Animal Welfare

Posted October 19th, 2010 by sherry

The comment period on the Humane Care and Use of Animals in Research and Teaching Activities policy has ended.

Filed under: academic

3 Responses to “Animal Welfare”

• Jerry Pogatshnik Says:
  November 15th, 2010 at 12:39 pm

  In response to Ms. Moreland’s comment - I think there may be confusion about the relationship between the implementation of this policy and the new animal studies program. The two are unrelated and a former policy has been in place for some time. The new policy is more closely aligned with federal guidelines and institutional best practices. The pain and distress categories follow from policy guidance from the Office of Laboratory Animal Welfare (OLAW).

  Regarding Mr. Pianalto’s comments. Members of the IACUC are appointed by the President. Faculty members interested in serving on the committee may contact their faculty senator. As the Institutional Official, my offices is usually contacted to provide recommendations or suggestions.

  Please feel free to contact me directly if you have further questions.

  Jerry Pogatshnik

• Matthew Pianalto Says:
  November 14th, 2010 at 2:05 pm

  The policy should state who is in charge of selecting members of the IACUC group, and whether or how members will be vetted, etc. There should be transparency in this process. (I tried to post a similar comment before and it disappeared. I think this is important.)

• Margaret Moreland Says:
  November 3rd, 2010 at 10:12 am

  I am now a bit concerned after reading the Criteria page regarding the “animal pain and distress” and the different procedures for the criteria’s listed. I was thinking this new program would not be performing such experimentation on animals. Is this going to be occurring?
TO: Dr. Doug Whitlock
   President

FROM: [Signature]
   Sherry Robinson, Special Assistant to the Provost

DATE: June 1, 2010

RE: University Policy—Humane Care and Use of Animals in Research and Teaching Activities

Executive Summary

Humane Care and Use of Animals in Research and Teaching Activities (4.4.13P) was adopted on an interim basis on June 4, 2010. It did not undergo review in the 2009-10 academic year, but will be ready for review in fall 2010. We are, therefore, requesting an extension of the interim policy expiration date until January 31, 2011. Please let me know if you have any questions or need any additional information.

Presidential Action:

☑ Recommend extension of expiration date
☐ Do not recommend extension of expiration date
☐ Other action recommended ________________________________

[Signature] 6/7/2010

Date
Humane Care and Use of Animals in Research and Teaching Activities

Policy Statement

It is the policy of Eastern Kentucky University to ensure the proper care, use, and humane treatment of animals used in research and teaching activities and to maintain an animal care and use program that is managed in accordance with applicable local, state, and federal laws and regulations. In doing so, the University complies with applicable provisions of the Animal Welfare Act (AWA) and other state and federal statutes and regulations relating to animals. The University is guided by the "United States Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training," and the University's animal care and use program is based on the Guide for the Care and Use of Laboratory Animals (Guide).

This policy is applicable to all research, training, experimentation, biological testing, teaching, and related activities at EKU involving animals, regardless of whether the activities are supported by externally sponsored agreements or University funds. This policy is also applicable to such activities occurring at another institution as a consequence of subgranting or subcontracting from EKU.

Entities Affected by the Policy

- Deans
- Chairs
- Faculty and staff responsible for animals or participating in activities involving animals
- Students participating in activities involving animals
- Subrecipients participating in activities involving animals
- Sponsored Programs staff
- Institutional Animal Care and Use Committee (IACUC) members

Policy Background

The University acknowledges and accepts responsibility for the humane care and use of animals involved in research, training, experimentation, biological testing, teaching and related activities. As partial fulfillment of this responsibility, the University makes a reasonable effort to ensure that all individuals involved in the care and use of laboratory animals understand their individual and collective responsibilities for compliance with this policy as well as all other applicable laws and regulations pertaining to animal care and use.

The University has established an Institutional Animal Care and Use Committee (IACUC), which is qualified through the experience and expertise of its members to oversee the University's animal program, facilities, and procedures. As partial fulfillment of this responsibility, the University relies on the IACUC to represent society's concerns regarding the welfare of animals used in projects associated with the University.

The purpose of this policy is to outline the University's accountability with regard to the care and use of animals in research, training, experimentation, biological testing, teaching and related activities. The policy explains the responsibilities of individuals involved in such activities and of the IACUC.
Criteria

The following criteria are requirements for IACUC approval of projects involving animals:

1. The principal investigator has conducted a thorough literature search for options to address the following three elements:
   a. Reduction (i.e., use of innovative statistical tests/packages requiring fewer animals)
   b. Replacement (i.e., use of an animal considered lower/lowest on the sentience scale)
   c. Refinement (i.e., use of minimally invasive or stressful procedures; use of analgesia)

2. The principal investigator has provided written assurance that the activities do not unnecessarily duplicate previous experiments.

3. Procedures involving animals, including use of restraints, will avoid or minimize discomfort, distress, and pain to the animals, consistent with sound research design.

4. The principal investigator has considered alternatives to procedures that may cause more than momentary or slight pain or distress to the animals and has provided a written narrative description of the methods and sources used to determine that alternatives were not available.

5. Procedures that may cause more than momentary or slight pain or distress to the animals will:
   a. be performed with appropriate sedatives, analgesics, or anesthetics, unless withholding such agents is justified in writing by the principal investigator for scientific reasons and will continue for only the necessary period of time;
   b. involve, in their planning, consultation with the attending veterinarian; and
   c. not include the use of paralytics without anesthesia.

6. Alternatives to animal use have been considered, such as the use of computer models or non-vertebrate species.

7. Animals that would otherwise experience severe or chronic pain or distress that cannot be relieved will be painlessly euthanized after the procedure or, if appropriate, during the procedure.

8. Methods of euthanasia used are in accordance with the American Veterinary Medical Association (AVMA) Panel on Euthanasia (Journal of the American Veterinary Medical Association (JAVMA), 2001, Vol. 218, No. 5, pp. 669-696) unless an alternate method is justified in writing by the principal investigator for scientific reasons and specifically approved by the IACUC.

9. The animals’ living conditions will be appropriate for their species and contribute to their health and comfort. Food or fluid restrictions must be justified in writing by the principal investigator for scientific reasons and specifically approved by the IACUC.

10. Medical care for animals will be available and provided as necessary by a qualified veterinarian.

11. Personnel conducting procedures on the species being maintained or studied will be appropriately qualified and trained in those procedures.

12. Activities that involve surgery include appropriate provision for pre-operative and post-operative care of the animals in accordance with established veterinary medical and nursing practices and in compliance with AWA regulations and the Guide.

13. No animal will be used in more than one major operative procedure from which it is allowed to recover unless scientifically justified by the researcher and specifically approved by the IACUC.

Policy Procedures

Selecting the Institutional Animal Care and Use Committee (IACUC)

The EKU IACUC consists of, at a minimum, five members to include: a Veterinarian who is certified in or has training or experience in laboratory animal science and medicine, at least one practicing scientist with experience in research involving animals, at least one member whose primary concerns are in non-scientific areas, and at least one member who is not affiliated with the University in any way other than as an IACUC member and who is not a member of the immediate family of a person who is affiliated. The Director of Sponsored Programs shall also serve as a non-voting advisory member. The Associate Vice President for Research shall serve as the Institutional Official responsible for providing IACUC compliance assurances to federal agencies.
Submission of Protocols for IACUC Review
Investigators are responsible for completing the IACUC application form and all applicable attachments and obtaining IACUC approval prior to the initiation of any activity involving animals.

Review and Approval of Protocols
Upon receipt of an IACUC application, Sponsored Programs will assign a protocol number and forward the application to IACUC members for review. In a timely manner, IACUC members will review the protocol and return completed evaluation sheets to Sponsored Programs. Any IACUC member who wishes to do so may request a convened meeting of the full committee prior to the approval of the protocol. If a full committee review is not requested, the protocol will be reviewed via the designated reviewer method. If the IACUC requests additional clarification on any aspect of the application, the investigator is responsible for promptly responding to the request prior to the issuance of an approval. If the majority of IACUC members approve the protocol during a convened meeting with a quorum of members present or if designated reviewers approve the protocol unanimously, the Chair will issue an approval letter to the principal investigator.

Amendments to Approved Protocols
Investigators may request IACUC approval to amend previously approved protocols. IACUC approval must be granted prior to the implementation of the changes. Amendment requests shall be submitted in writing to the IACUC and shall include a complete description of the purpose or rationale for the amendment and the specific changes (IACUC Form A). Amendments shall be distributed to IACUC members for review, and any member may request a convened meeting of the full committee to review the request. If a full committee review is not requested, the amendment will be reviewed via the designated reviewer method. If the majority of IACUC members approve the protocol during a convened meeting with a quorum of members present or if designated reviewers approve the protocol unanimously, the Chair will issue an approval letter to the principal investigator.

Continuing Review of Approved Protocols
Protocols will be approved for a period of not more than three years, and all approved protocols are subject to continuing review on an annual basis. If no adverse events are noted on the Continuation Review form (IACUC Form C), formal IACUC review is not necessary, and the IACUC Chair may issue the approval notification for the continuation of the previously approved activity. If adverse effects are noted, the continuation protocol will be forwarded to the IACUC for formal review prior to approval. Any changes to the protocol must be submitted as an amendment and may not be implemented until IACUC approval has been granted. If an activity is to continue for more than three years from the date of the initial IACUC approval, a new application must be submitted for review. A final report is required within 30 days of the activity's end date (IACUC Form F).

Review of University's Program for Humane Care and Use of Animals
At least once every six months, the IACUC shall review the University's program for humane care and use of animals using the Guide as a basis for evaluation. This review includes an inspection and evaluation of the animal care facilities.

Reporting
The IACUC will submit semi-annual reports of its evaluation of the University's animal care and use program, including its inspection of the animal care facilities to the Institutional Official.

The Institutional Official shall submit annual reports to OLAW. The reports shall include any change in the status of the institution, any change in the description of the University's program for animal care and use, and any changes in IACUC membership. The reports shall also provide notification of the date on which the IACUC conducted its semi-annual evaluations of the institution's program and facilities.

The Institutional Official shall provide prompt notification to appropriate authorities of the circumstances and actions taken with respect to any serious or continuing noncompliance, any serious deviations from the provisions of the Guide, or any suspension of an activity by the IACUC.
Records
The following records related to the use of animals in research and teaching activities shall be maintained by the University:
- Copy of the Assurance of Compliance with Public Health Service (PHS) on Humane Care and Use of Laboratory Animals
- Minutes of IACUC meetings, including records of attendance, activities of the committee, and committee deliberations
- Records of applications, proposals, and proposed significant changes in the care and use of animals and whether IACUC approval was granted or withheld
- Records of semi-annual IACUC reports and recommendations (including minority views) as forwarded to the Institutional Official
- Records of accrediting body determinations
The University shall maintain IACUC records for a period of three years. Records that relate directly to applications, proposals, and proposed changes in ongoing activities reviewed and approved by the IACUC will be maintained for the duration of the activity and for an additional three years following the completion of the activity.

Training
All IACUC members shall complete appropriate training programs as detailed in the University’s PHS Assurance. All investigators and other individuals engaging in animal activities are required to complete an appropriate training program prior to initiating any activities involving animals and prior to the IACUC granting approval for protocols submitted for review. IACUC records shall document appropriate training for all investigators and other involved individuals. Training certificates shall be valid for a period of three years, at which time individuals are required to renew their training certifications. In addition, principal investigators are responsible for providing and documenting protocol-specific training for their employees and students who participate in activities involving animals.

Definitions

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tbody>
<tr>
<td>Animal</td>
<td>Any living vertebrate, excluding humans</td>
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<tr>
<td>Animal Facility</td>
<td>Buildings, rooms, areas, enclosures and vehicles (including satellite facilities in which animals are housed for more than 24 hours) that are used for animal confinement, transport, maintenance, breeding, or experiments inclusive of surgical manipulation.</td>
</tr>
<tr>
<td>Animal Welfare Act</td>
<td>The Act passed by Congress to insure humane care and treatment of animals used in research and during transportation in commerce and to prevent the sale and use of stolen animals</td>
</tr>
<tr>
<td>Euthanasia</td>
<td>Humane death for an animal accomplished by a method that produces rapid unconsciousness and subsequent death without pain or distress, or a method that utilizes anesthesia produced by an agent that causes painless loss of consciousness and subsequent death</td>
</tr>
<tr>
<td>The Guide</td>
<td>The Guide for the Care and Use of Laboratory Animals</td>
</tr>
<tr>
<td>IACUC</td>
<td>Institutional Animal Care and Use Committee: the governing body responsible for overseeing the University’s animal care and use program, facilities, and procedures</td>
</tr>
<tr>
<td>Institutional Official</td>
<td>The Associate Vice President for Research: the individual with the authority to provide compliance assurances to federal agencies and other for issuing other official documentation on behalf of the University</td>
</tr>
<tr>
<td>Quorum</td>
<td>A simple majority of the voting members of the IACUC</td>
</tr>
<tr>
<td>Subrecipients</td>
<td>Institutions to whom the University subgrants or subcontracts on a sponsored project</td>
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<tr>
<td>University</td>
<td>Eastern Kentucky University</td>
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Page 4 of 7

June 1, 2009 draft
Responsibilities

Attending Veterinarian
- Participate in semi-annual review of University's program for humane care and use of animals
- Be on call for the University's animal care program, including the provision of treatment in emergency situations
- Maintain a written veterinary care program that addresses, at a minimum, the following components:
  a. Preventive medicine
  b. Surveillance, diagnosis, treatment, and control of disease
  c. Management of protocol-associated disease or disability
  d. Anesthesia and analgesia
  e. Surgery and postsurgical care
  f. Assessment of animal well-being
  g. Euthanasia
- Be available to serve as a consultant during protocol planning and implementation
- Provide medical care to specified animal subjects in need of medical attention or approve medical care for designated species
- Serve in an advisory capacity to the IACUC in the areas of laboratory animal medicine and care and the appropriate use of animal in research

Department Chairs
- In applicable academic areas, facilitate faculty awareness of the animal care and use policy and IACUC submission procedures
- Review IACUC protocols from faculty within their departments and recommend them for submission to the IACUC

Division of Sponsored Programs
- Provide administrative support to the IACUC, including the processing of application and reporting forms for review by the IACUC and the maintenance of applicable records
- Ensure that activities proposed for external support have been reviewed and approved by the IACUC prior to the release of any awarded funds
- Provide support for the review and investigation of animal care and use concerns and complaints from all sources
- Provide support to the Institutional Official in preparing annual reports to OLAW
- Provide support to the Institutional Official in promptly notifying appropriate authorities of the circumstances and actions taken with respect to any serious or continuing noncompliance, any serious deviations from the provisions of the Guide, or any suspension of an activity by the IACUC.

Graduate Thesis Advisors
- Maintain current training for the care and use of animals from an educational program acceptable to the IACUC
- Provide guidance to advisees on the submission of protocols for IACUC review
- Ensure that students participating in animal activities have been properly informed of any risks and have received training on animal care and safety issues related to the activity
- Monitor and supervise graduate students to ensure the appropriate care and use of animals at all times
- Ensure that IACUC reporting requirements are followed for each protocol

Institutional Animal Care and Use Committee
- EKU's Institutional Animal Care and Use Committee (IACUC) is responsible for overseeing the institution's animal program, facilities, and procedures. The following are specific responsibilities:
  - Complete semi-annual review of University's program for humane care and use of animals
  - Inspect the University's animal facilities at least once every six months
• Prepare reports of the IACUC evaluations and submit to Institutional Official describing the nature and extent of the institution's adherence to the Guide and the PHS Policy
• Make written recommendations to Institutional Official regarding any aspect of the University's animal care and use program, facilities, or personnel training based on the semi-annual review of the program and/or other IACUC deliberations
• Review and approve, require modifications to, or withhold approval of activities related to the care and use of animals
• Review and approve, require modifications to, or withhold approval of proposed changes to previously approved activities
• Notify investigators and the University in writing of its decision to approve or withhold approval of protocols for activities or changes to previously approved activities
• Conduct continuing review of each previously approved activity on an annual basis and a complete review at least once every three years
• Review and investigate animal care and use concerns and complaints from all sources
• Suspend activities for failure to comply with the Animal Welfare Act, the Guide, or the University's policy

Institutional Official

• Facilitate the review and investigation of animal care and use concerns and complaints from all sources
• File annual reports with OLAW
• Provide prompt notification to appropriate authorities of the circumstances and actions taken with respect to any serious or continuing noncompliance, any serious deviations from the provisions of the Guide, or any suspension of an activity by the IACUC.

Investigators

• Prepare protocol and submit to the IACUC for approval prior to the initiation of any activity involving animals
• Obtain prior approval for the use of facilities in the Department of Biological Sciences animal quarters
• Maintain current training for the care and use of animals from an educational program acceptable to the IACUC
• Provide protocol-specific training to all personnel and students participating in activities involving animals
• Adhere to applicable federal, state and University policies and guidelines in the conduct of all activities involving animals
• Notify the IACUC immediately and seek prior approval for any proposed changes to approved protocols
• Complete, on an annual basis, the continuing review form for ongoing projects
• File a final report with the IACUC within 30 days of the activity's end date

Violations of the Policy

Individuals who fail to comply with this policy may face consequences imposed by the IACUC. Such ramifications include project suspension or termination and ineligibility to publish research results of activities for which prior IACUC approval was not granted, including professional publications and/or theses or dissertations.
Interpreting Authority

Associate Vice President for Research

Statutory or Regulatory References

- The Animal Welfare Act

Relevant Links

- The Guide for the Care and Use of Laboratory Animals
- Institutional Animal Care and Use Committee (IACUC) Application Materials
- United States Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training
- United States Department of Agriculture's Animal Welfare Information Center

Policy Adoption Review and Approval

Interim Policy

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<thead>
<tr>
<th>Date</th>
<th>Entity</th>
<th>Action</th>
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<tr>
<td>June 4, 2009</td>
<td>President Doug Whitlock</td>
<td>Adopted</td>
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